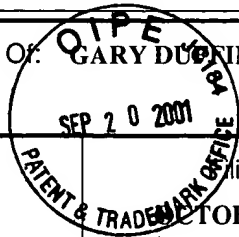


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OB007ML-1

In Re Application Of: **GARY DUBOIN ET AL.**



Serial No.  
09/696,854

Filing Date  
OCTOBER 26, 2000

Examiner  
FOELAK

Group Art Unit  
1711

Title: **EXPANDABLE COMPOSITIONS AND METHODS FOR MAKING AND USING THE COMPOSITIONS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**RECEIVED**  
SEP 21 2001  
TC 1700

09/21/2001 KZEW/DIE 00000076 150680 09696854

01 FC:126 180.00 CH

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OB007ML-1

In Re Application Of: **GARY DUFFIN ET AL.**

Serial No.  
09/696,854

Filing Date  
OCTOBER 26, 2000

Examiner  
FOELAK

Group Art Unit  
1711

Title: **EXPANDABLE COMPOSITIONS AND METHODS FOR MAKING AND USING THE COMPOSITIONS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 15-0680 as described below. A duplicate copy of this sheet is enclosed.
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- ☒ Credit any overpayment.
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Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

**MICHAEL K. BOYER - USPTO REG NO 33,085**  
**ATTORNEY FOR APPLICANTS**  
**ORSCHELN MANAGEMENT CO**  
**2000 US HWY 63 SOUTH**  
**MOBERLY, MISSOURI 65270**  
**Tel: 660 269-4536**  
**Fax: 660 269-4530**  
**email: mboyer@orscheln.com**

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I certify that this document and fee is being deposited on **17 SEPT 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

**MICHAEL K. BOYER**

Typed or Printed Name of Person Mailing Correspondence

Dated: **SEPT 17, 2001**

CC:

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# TRANSMITTAL FORM

Total Number of Pages in This Submission

Application Number	09/696,854
Filing Date	10/26/00
First Named Inventor	DUFFIN
Group Art Unit	1711
Examiner Name	FOELAK
Attorney Docket Number	03007 ML-1

## ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s): _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|--|--|

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

MICHAEL K. BOYER

Signature

*Michael Boyer*

Date

17 SEPT 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9/17/01

Typed or printed name

MICHAEL BOYER

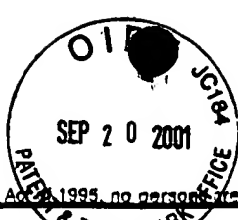
Signature

*Michael Boyer*

Date

9/17/01

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PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

## Complete if Known

Application Number 09/696,854  
Filing Date 10/26/00  
First Named Inventor VUTFIN  
Examiner Name POELAK  
Group Art Unit 1711  
Attorney Docket No. 030746-1

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

15-0680

Deposit  
Account  
Name

ORSCHEN

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity  
Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee  
106 320 206 160 Design filing fee  
107 490 207 245 Plant filing fee  
108 710 208 355 Reissue filing fee  
114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$) 70

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
Independent Claims  -3\*\* =  X  =   
Multiple Dependent  =

Large Entity Small Entity  
Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20  
102 80 202 40 Independent claims in excess of 3  
104 270 204 135 Multiple dependent claim, if not paid  
109 80 209 40 \*\* Reissue independent claims  
over original patent  
110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent

SUBTOTAL (2) (\$) 70

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 390 216 195		Extension for reply within second month	
117 890 217 445		Extension for reply within third month	
118 1,390 218 695		Extension for reply within fourth month	
128 1,890 228 945		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,240 241 620		Petition to revive - unintentional	
142 1,240 242 620		Utility issue fee (or reissue)	
143 440 243 220		Design issue fee	
144 600 244 300		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	180
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180

## SUBMITTED BY:

Name (Print/Type)

MICHAEL BOYER

Registration No.  
(Attorney/Agent)

33085

Complete if applicable

Telephone

6602694536

Signature

[Signature]

Date

17 SEPT 01

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